## <u>REMARKS</u>

Applicants respectfully request entry of the above amendments to the drawings, specification, and claims, which place the application and claims in condition for allowance or in better form for consideration on appeal, and do not require a new search by the Examiner. Figure 1 has been corrected, as required in the Notice of Draftsperson's Patent Drawing Review, so that each page of Figure 1 is labeled (e.g., as Figure 1A and Figure 1B), and the specification has been amended to reflect this correction. No new matter is added by way of the correction to the drawings or the specification.

The amendment to claim 1 finds support in the specification, for example, at page 6, lines 13-14, and elsewhere in the specification and claims as originally filed. No new matter is added by way of the amendments. Claims 1-3, 5-14, 23-31, and 37-45 were pending in the application. With entry of the requested amendment, claims 1-3, 5-7, 9-11, and 23-27 are pending.

Solely to expedite prosecution of the pending application to issue, claim 1 has been amended to refer to *in vitro* application; claims referring to *in vivo* administration have been canceled by the amendment. Thus, with the amendment, all claims refer to methods for at least partially inhibiting excessive proliferation or migration of smooth muscle cells *in vitro*.

Claims 1-3, 5-14, 23-31 and 37-45 stand rejected under 35 USC § 112, first paragraph, as allegedly not enabling; claims 1-3, 5-14, 23-31 and 37-45 stand rejected under 35 USC § 103(a) as allegedly obvious over US Patent No. 5,811,098 in view of Krymskaya (1999) or Godowski, WO 99/02681 and further in view of a known fact. The drawings stand objected to as failing to comply with 27 C.F.R. § 1.84.

6 Amendment and R sponse t Final Office Acti n (Dated July 14, 2003) Applicati n Serial N . 09/940,101 Att rney's D cket N . 39766-0072 A2 Applicants respectfully traverse the rejections.

## The Objections to the Drawings:

The Draftsperson noted that the numbering of the Figures is not consecutive, and must be corrected. With this Amendment, applicants provide amended drawings in which both pages of Figure 1 are labeled consecutively as Figure 1A and Figure 1B. Accordingly, applicants believe the objections to the drawings to be overcome.

#### The Rejections under. § 112 ¶1

Claims 1-3, 5-14, 23-31 and 37-45 were rejected under 35 USC § 112, first paragraph, as allegedly not enabling. However, applicants respectfully note that the Examiner states that claims 1-3, 5-14, 23-31 and 37-45 are "enabling for a method of partially inhibiting proliferation or migration of smooth muscle cells in cell culture, comprising administering an effective amount of an antibody to native ErbB4 receptor" (page 3, paragraph 4, lines 2-5 of the paragraph). Applicants respectfully note that claim 1 has been amended to recite a "method for at least partially inhibiting proliferation or migration of smooth muscle cells *in vitro* comprising treating said smooth muscle cells with an effective amount of an antibody antagonist of a native ErbB4 receptor of SEQ ID NO.: 2."

Accordingly, applicants respectfully submit that the rejection to claims 1-3, 5-14, 23-31 and 37-45 under 35 USC § 112, first paragraph, as allegedly not enabling is overcome.

# The Rejections under U.S.C. § 103(a)

Claims 1-3, 5-14, 23-31 and 37-45 were rejected under 35 USC § 103(a) as obvious over US Patent No. 5,811,098 in view of Krymskaya (1999) or Godowski, WO 99/02681 and further in view of a known fact.

7 Amendment and Resp ns t Final Office Acti n (Dated July 14, 2003) Applicati n Serial N . 09/940,101 Att rney's D ck t N . 39766-0072 A2 Applicants respectfully submit that the pending claims are not obvious over the cited combination of references.

In order to establish a prima facie case of obviousness, there must be 1) some suggestion or motivation in the art or in the knowledge generally available to one of ordinary skill in the art, to modify or to combine the reference teachings; 2) there must be a reasonable expectation of success; and 3) the prior art references must teach or suggest all the claim limitations. The teaching or suggestion to make the claimed combination and the reasonable expectation of success must be found in the prior art, and not based on the applicant's disclosure. In re Vaeck, 947 F.2d 488, 20 USPQ2d 1438 (Fed. Cir. 1991).

Applicants respectfully submit that motivation or suggestion to combine the references is lacking, that there would be no reasonable expectation of success based on these references, and that the references cited by the Examiner fail to provide all the elements of the claimed invention.

Krymskaya et al. is presented by the Examiner to show the presence of ErbB4 receptors on human airway smooth muscle cells; WO 99/02681 is presented by the Examiner to show that ErbB4 receptors are present on smooth muscle cells, and that blocking signal transduction pathway mediated through this receptor can effect mitotic activity in cells expressing these receptors; and the known fact disclosed in the specification at page 5, lines 7-25 is that excessive proliferation of smooth muscle cells is involved with vascular stenosis, restenosis, and hypertension and regulation of proliferation of such cells has potential significance in treating these pathologies. Applicants respectfully note that the present claims, as amended, are directed to at least partial inhibition of proliferation or migration of smooth muscle cells *in vitro*; applicants respectfully submit that considerations of *in vivo* effects are thus moot.

Moreover, even if combined, the cited references fail to make obvious the claimed invention. None of the cited references discuss or suggest an antibody antagonist of a native ErbB4 receptor of SEQ ID NO.: 2. None of the cited references discuss or suggest a method for at least partially inhibiting proliferation or migration of smooth muscle cells *in vitro* by treating smooth muscle cells with an effective amount of an antibody antagonist of a native ErbB4 receptor of SEQ ID NO.: 2. In addition, the cited references lack any teaching that antagonists to ErbB4 receptors would be effective to reduce smooth muscle proliferation (Krymskaya et al. teach that ErbB4 receptors are inactive, WO 99/02681 contains no disclosure regarding antagonizing ErbB4 receptors to reduce smooth muscle cell proliferation). Accordingly, whether taken alone or taken together, these references lack at least these elements of the claimed invention, and so fail to make it obvious.

As discussed in the previous response, applicants respectfully disagree with the Examiner's suggestion that Krymskaya et al. suggest that the ErbB4 receptor plays "a pivotal role in the regulation of smooth muscle cells ..." Applicants respectfully direct the Examiner's attention to Krymskaya et al., page L252, column 2, lines 7-9: "Although all EGFR family members are expressed in quiescent HASM [human airway smooth muscle] cells, ErbB-3 and ErbB-4 are functionally inactive." Applicants further note page L248, column 2, lines 37-39: "ErbB-3 and ErbB-4 in EGF-stimulated cells did not appear to be activated." Thus, Krymskaya et al. teach that ErbB4 receptors do NOT play a role in smooth muscle cell proliferation of human airway smooth muscle cells. Krynskaya et al. thus teach that interaction with an ErbB-4 receptor would be ineffective at affecting smooth muscle cell proliferation, teaching away from the claimed invention.

Teaching that ErbB-4 receptors are functionally inactive on the smooth muscle cells investigated, Krymskaya et al. does not provide any motivation to combine with any other reference to control or inhibit smooth muscle cell proliferation, or affect stenosis or restenosis, by treatment with an antagonist to an ErbB4 receptor. Whether

taken alone, or in combination with other references, Krymskaya et al. thus provides no teaching that one could control or inhibit smooth muscle cell proliferation, by treatment with an antagonist to an ErbB4 receptor.

In addition, WO 99/02681 nowhere suggests that antagonists to ErbB4 receptors might be useful to control smooth muscle <u>proliferation</u>. Accordingly, WO 99/02681 provides no teaching that would render obvious the claimed invention, nor any suggestion that it be combined with other references to provide the claimed invention.

"Combining prior art references without evidence of such a suggestion, teaching or motivation simply takes the inventor's disclosure as a blueprint for piecing together the prior art to defeat patentability – the essence of hindsight." In re Dembiczak, 175 F.3d 994, 50 USPQ2d 1614 (Fed. Cir. 1999). Applicants respectfully submit that WO 99/02681 provides no suggestion or motivation to combine with any other reference or with knowledge available to one of ordinary skill in the art to provide ErbB-4 antagonists to control or inhibit smooth muscle cell proliferation, and that its use in a combination of references to provide such a teaching must be the result of impermissible hindsight.

Accordingly, the cited references failing to provide all the elements of the claimed invention, failing to suggest or provide motivation to provide such elements, Applicants respectfully submit that the rejections of claims 1-3, 4-14, 22-31 and 36-45 under 35 U.S.C. § 103(a) are overcome.

# **CONCLUSIONS**

Applicants believe all rejections to be overcome as discussed above, and respectfully request the entry of the amendments, reconsideration and allowance of all pending claims. All claims being believed to be in *prima facie* condition for allowance, an early action to that effect is respectfully solicited.

Please charge any additional fees, including any fees for extension of time, or credit overpayment to Deposit Account No. <u>08-1641</u>, referencing attorney's docket no. <u>39766-0072 A2</u>.

Respectfully submitted,

Dated: October 16, 2003

By:

James A. Fox (Reg. No. 38,455)

#### **HELLER EHRMAN WHITE & McAULIFFE LLP**

275 Middlefield Road

Telephone: (650) 324-7000 Facsimile: (650) 324-0638

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HELLER EHRMAN WHITE & McAULIFFE,LLP Title: ErbB4 Antagonists U.S. Patent Application Serial No. 09/940,101 Attorney's Docket No. 39766-0072 A2 Sheet Page 1 of 2

Replacement Sheet

# FIGURE 1A

1	aattgtcagc	acgggatctg	agacttccaa	aaa <u>atg</u> aagc	COOCOACAGO	actttqqqtr	:
61	ryggrgagee	Licitograge	ggcggggacc	atccaaccca	gcgattctca	at caat at at	
121	gcaggaacgg	ayaataaact	gagetetete	tctgacctgg	aacadcadta	CCGBGCCttg	
181.	cgcaagtact	atgaaaactg	tgaggttgtc	atoggcaacc	togagataac	carcattrag	
241	cacaaccggg	acctctcctt	cctacaatct	gttcgagaag	tcacacacta	catatteata	
301	getettaate	agtttcgtta	cctgcctcta	gagaatttac	gcattattcg	tandacasas	
361	. Ctttatyayy	alcyalacyc	cttddcaata	- TTTTTTAAACT	202022202	+ + + +	
421	. ggacttcaag	aacttggatt	aaaqaacttq	acadaaatcc	taaatootoo	actictaticta	
481	gaccagaaca	aattoottig	ttatqcaqac	accattcatt	ggcaagatat	tattcaassc	
541	Clarggetti	ccaacttgac	tettatatea	acaaatoota	atteaddata	tagecattac	
.601	Cataagtttt	graciqqeeq	riactadaa.	∵CCCacadaaa	atcattacca	asctttascs	
661	aggacggtgt	grgcagaaca	atgtgacggc	agatoctaco	gaccttacgt	cadtdactdc	
721	ryccarcyay	aatgtgctgg	aggetgetea	ggacctaagg	acacadactd	ctttacctac	
781	atgaatttca	atgacagtgg	agcatgtgtt	actcagtgtc	cccadacett	tototacaat	
841	CCaaccacct	ttcaactgga	gcacaatttc	aatocaaaot	acacatatoo	accattctct	
901	gicaagaaat	gtccacataa	ctttgtggta	gattccagtt	cttatataca	tacctaccet	
961	agttccaaga	tggaagtaga	agaaaatqqq	attaaaatot	gtaaaccttg	cactgacatt	
1021	tgcccaaaag	cttgtgatgg	cattogcaca	ggatcattga	totcaoctca	gactgtggat	
TOOT	tccaqtaaca	ttqacaaatt	cataaactot	accaadatca	atoggaattt	antat++a+	. 1
TTAT	gicaciggia	ttcatgggga	cccttacaat	gcaattgaag	ccatadaccc	acacaaactc	
1401	aacgtcttc	ggacagtcag	aqaqataaca	ggtttcctga	acatacagto	atooccacca	
1401	aacatgactg	acttcagtgt	tttttctaac	Ctootoacca	ttaataaaa	actactctat	
1251	agtggcctgt	ccttgcttat	cctcaagcaa	Cadddcatca	cctctctaca	attecaatee	
T 2 9 T	ctgaaggaaa	tcagcgcagg	aaacatctat	attactdaca	acadeaacet	atattattat	
T 4 4 T	cataccatta	actggacaac	actcttcagc	acaatcaacc	agagaatagt	aatccaaac	
1201	aacagaaaag	ctgaaaattg	tactgctgaa	ggaatggtgt	gcaaccatict	atattccaat	
TOOT	gatggctgtt	ggggacctgg	gccagaccaa	tatctatcat	atcaccactt	cagtagagga	٠.
T P Q T	aggatetgea	tagagtcttg	taacctctat	gatggtgaat	ttcaaaaatt	taaaaitaac	
TOOT	tccatctgtg	tggagtgtga	ccccaatat	gagaagatgg	aadatoocct	cctcacatoc	
1/21	carggardgg	greergacaa	Clytacaaaq	toctctcatt	ttaaagatgg	cccaaactgt	
TOOT	giggaaaaat	gtccagatgg	cttacagggg	gcaaacagtt	tcattttcaa	atatactaat:	
T00T	ccagatcggg	agtgccaccc	atgccatcca	aactgcaccc	aagggtgtaa	controcart	·
7377	agtcatgact	gcatttacta	cccatggacg.	ggccattcca	ctttaccaca	acatoctada	
TAST	actcccctga	ttgcagctqq	agtaattggt	gggctcttca	ttctaatcat	tataaateta	
2041	acatttgctg	tttatgttag	aaqqaaqaqc	atcaaaaaaa	aaagagcctt	gagagattc	
2101	ttggaaacag	agttggtgga	accattaact	CCCagtggca	Cadcacccaa	tcaagetcaa	
2101	Citegratic	tgaaagaaac	tgagctgaag.	adddtaaaad	teettaaete	addtactttt	
2221	qqaacqqttt	ataaaggtat	ttaaatacct	Gaaggagaaa	ctctcaacat	tectetecet	
2401	allaagallo	ttaatqaqac	aactggtccc	aaggcaaatg	tonantteat	agetgeeget	
インマエ	cigaticatyy	caaqtatqqa	LCalccacac.	CTagtccggt	tactagatat	atatetaaae	
2401	CCaaccatcc	agctggttac	tcaacttatq	CCCCataact	acctattaga	gtatgtccac	
2401	yaycacaagg	ataacattgg	atcacaacto	Ctgcttaact	gatatataca	gatagetaag	٠.
2521	ggaatgatgt	acctggaaga	aagacgactc	gttcatcggg	atttggcagc	ccgtaatgtc	
2541 2501	ttagtgaaat	ctccaaacca	tgtgaaaatc	acagattttg	ggctagccag	actcttggaa	
2701	ggagatgaaa	aagagtacaa.	tgctgatgga	ggaaagatgc	caattaaatg	gatggctctg	
2761	gagtgtatac	attacaggaa	attcacccat	cagagtgacg	tttggagcta-	tggagttact	
2/01	atatgggaac	tgatgacctt	tggaggaaaa	ccctatgatg	gaattccaac	gcgagaaatc	
2021	ccigalital	Lagagaaagg	agaacgtttg	CCTCagcctc	ccatctgcac	tattgacgtt	
2001	cacacygica	tggtcaaatg	ttggatgatt	gatgctgaca	gtagacctaa	atttaaggaa .	
3001	ctggctgctg	ayııttcaag	gatggctcga	gaccctcaaa	gatacctagt	tattcagggt	
3061	gatgatcgta	tgaagcttcc	cagtocaaat	gacagcaagt	tctttcagaa	tctcttggat	
2001	yaayaqqatt	tqqaaqatat	gatggatgct	gaggagtact	taatccctca	aactttcaac	
3181	atcccacctc	ctcctgcct-	Caccagagea.	agaattgact	cgaataggag	tgaaattgga	
3241	cacagecete	ctcaacaaca	cacccccatg	tcaggaaacc	agtttgtata	ccgagatgga	
3301	ggttttgctg	togcacaco	tactactes	ccctacagag	ccccaactag	cacaattcca	
33.61	gaageteetg	accacaggg	accontate	gagatttttg	atgactcctg	ctgtaatggc	
3421	accetacgea	ccatatttac	CCCacaaacca	caayaggaca	gtagcaccca	gaggtacagt	
3481	gctgacccca	ctatoroad	a caaacco	ayuudadgag aa caacaat	yagagctgga	tgaggaaggt agt ggaggag	
				uu caagaata	ice tgaated	-agt ggaggag	jaac

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Replacement Sheet

# FIGURE 1B

		•	_		•	
3541	ccttttgttt	ctcggagaaa	aaatggagac	cttcaagcat	tggataatcc	cgaatatcac
3601	aatgcatcca	atggtccacc	caaggccgag	gatgagtatg	tgaatgagcc	actgtacctc
3661	aacacctttg	ccaacacctt	gggaaaagct	gagtacctga	agaacaacat	actgicaatg
3721	ccagagaagg	ccaagaaagc	gtttgacaac	cctgactact	ggaaccacag	cctgccacct
3781	cggagcaccc	ttcagcaccc	agactacctg	caggagtaca	gcacaaaata	tttttataaa
3841	cagaatgggc	ggatccggcc	tattgtggca	gagaatcctg	aatacctctc	tgagttctcc
3901	ctgaagccag	gcactgtgct	gccgcctcca	ccttacagac	accggaatac	tgtggtgtaa
3961	gctcagttgt	ggttttttag	gtggagagac.	acacctgctc	caatttcccc	acccccctct
4021	ctttctctgg	tggtcttcct	tctaccccaa	ggccagtagt	tttgacactt	cccagtggaa
4081	gatacagaga	tgcaatgata	gttatgtgct	tacctaactt	gaacattaga	gggaaagact
4141	gaaagagaaa	gataggagga	accacaatgt.	ttcttcattt	ctctgcatgg	gttggtcagg
4201	agaatgaaac	agctagagaa	ggaccagaaa	atgtaaggca	atgctgccta	ctatcaaact
4261	agctgtcact	ttttttcttt	ttctttttct	ttctttgttt	ctttcttcct	cttctttttt
4321	ttttttttt	taaagcagat.	ggttgaaaca	cccatgctat	ctgttcctat	ctgcaggaac
4381	tgatgtgtgc	atatttagca	tccctggaaa	tcataataaa	gtttccatta	gaacaaaaga
4441	ataacatttt	ctataacata	tgatagtgtc	tgaaattgag	aatccagttt	ctttccccag
4501	cagtttctgt	cctagcaagt	aagaatggcc	aactcaactt	tcataattta	aaaatctcca
4561	ttaaagttat.	aactagtaat	tatgttttca	acactttttg	gtttttttca	ttttgttttg
4621	ctctgaccga	ttcctttata	tttgctcccc	tatttttggc	tttaatttct	aattgcaaag
4681	atgtttacat	caaagcttct	tcacagaatt	taagcaagaa	atattttaat	atagtgaaat
4741	ggccactact	ttaagtatac	aatctttaaa	ataagaaagg	gaggctaata	tttttcatgc
4801	tatcaaatta	tcttcaccct	catcctttac	atttttcaac	atttttttt	ctccataaat
4861	gacactactt	gataggccgt	tggttgtctg	aagagtagaa	gggaaactaa	gagacagttc
4921	tctgtggttc	aggaaaacta	ctgatacttt	caggggtggc	ccaatgaggg	aatccattga
4981	actggaagaa	acacactgga	ttgggtatgt	ctacctggca	gatactcaga	aatgtagttt"
5041	gcacttaagc	tgtaatttta	tttgttcttt	ttctgaactc	cattttggat	tttgaatcaa
5101	gcaatatgga	agcaaccagc	aaattaacta	atttaagtac	atttttaaaa	aaagagctaa
5161	gataaagact	gtggaaatgc	caaaccaagc	aaattaggaa	ccttgcaacg	gtatccaggg
5221	actatgatga	gaggccagca	cattatcttc	atatgtcacc	tttgctacgc	aaggaaattt
5281	gttcagttcg	tatacttcgt	aagaaggaat	gcgagtaagg	attggcttga	attccatgga
5341	atttctagta	tgagactatt.	tatatgaagt	agaaggtaac	tctttgcaca	taaattggta
5401	taataaaaag	aaaaacacaa	acattcaaag	cttagggata	ggtccttggg	tcaaaagttg
5461	taaataaatg	tgaaacatct	tctc			